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Date November 14, 2007 Name: John G. Rauch Signature:

Our Case No. 10790/4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Curt Conklin, et al.

Serial No. 09/896.760

Filing Date: June 29, 2001

For METHOD AND SYSTEM FOR  
ENHANCED DISTRIBUTION OF  
FINANCIAL INSTRUMENTS

Examiner: Poinvil, Frantzy

Group Art Unit No. 3692

Confirmation No. 2007

**AMENDMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated August 14, 2007, please amend the application as follows:

**Amendments to the Claims** are reflected in the listing of the claims which begins on page 2 of this paper.

**Remarks** begin on page 15 of this paper.